

**DOE Bioenergy Technologies Office (BETO) 2023  
Project Peer Review  
DE-EE-0008250, WBS 3.5.1.501**

# **Multi-stream Integrated Biorefinery Enabled by Waste Processing**

04/04/2023

Systems Development and Integration

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# Project Overview

- History: DE-FOA-0001689; Topic Area 2: High value products from waste/or other undervalued streams in an integrated biorefinery. The project has made significant progresses and is ready for BP2 verification.
- Goal: enable multi-stream integrated biorefinery (MIBR) by complex technical targets



**Carbon Fiber**

100GPa elastic modulus and 2GPa tensile strength, ready for commercialization.



**ASPHALT  
BINDER**

Increasing rutting temp by 10°C (about 1 PG).



**Bioconversion**

60% solubilized biorefinery waste, 25 g/L lipid titer, and 30% conversion rate



**Integration**

Integrating 2 out of the 3 aforementioned products to achieve MESP reduction by \$0.5.

# BETO Missions and Broader Energy/Environmental Challenges Addressed:



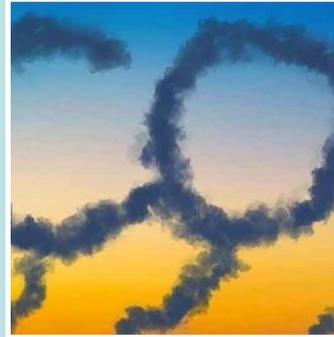
Improve biorefinery economics and sustainability

Building blocks

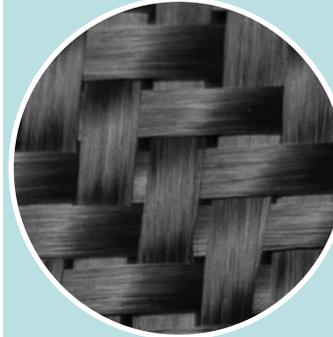


Biopolymers

Produce high value bioproducts and manage biorefinery waste



Reduce carbon emission by complete biomass usage



Light-weighted material to reduce fuel and energy consumption in transportation sector



Asphalt binder modifier to improve infrastructure resilience to global climate changes



Biorefinery waste for lipid production will alleviate the feedstock limitations for biodiesel industry



# Heilmeier Catechism Summary

## State of the art

Lignin  
Partially  
burnt

Limited  
value  
recovery,  
low overall  
carbon  
efficiency

Lignin-  
carbon low  
quality

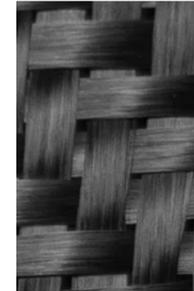
Bring  
down the  
biofuel  
price with  
diverse  
products

Produce  
low-cost  
and high  
quality  
carbon  
fiber

## Why?



(\$259 billion economic potential and 1.1 million jobs)



(\$4.7 billion market with 11% annual growth)



Structure-function relationship understanding  
Biorefinery integration and scale up.

# Management

## MIBR Advancement



GO/NO-GO DECISIONS



Defined S.M.A.R.T. Go/No-Go milestones were set and implemented to ensure project progresses.

## MIBR Optimization

Monthly Teleconferences



THE UNIVERSITY OF  
TENNESSEE  
KNOXVILLE



## MIBR Scaleup

Program manager meetings

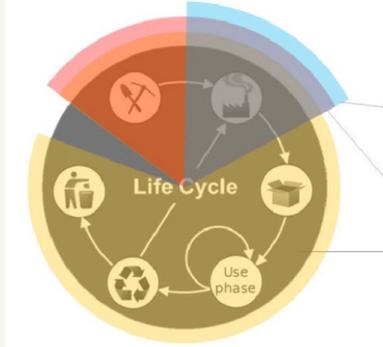


The technical milestones were designed in a way to ensure that the economic targets can be achieved. Full ASPEN model was built.

Biorefinery

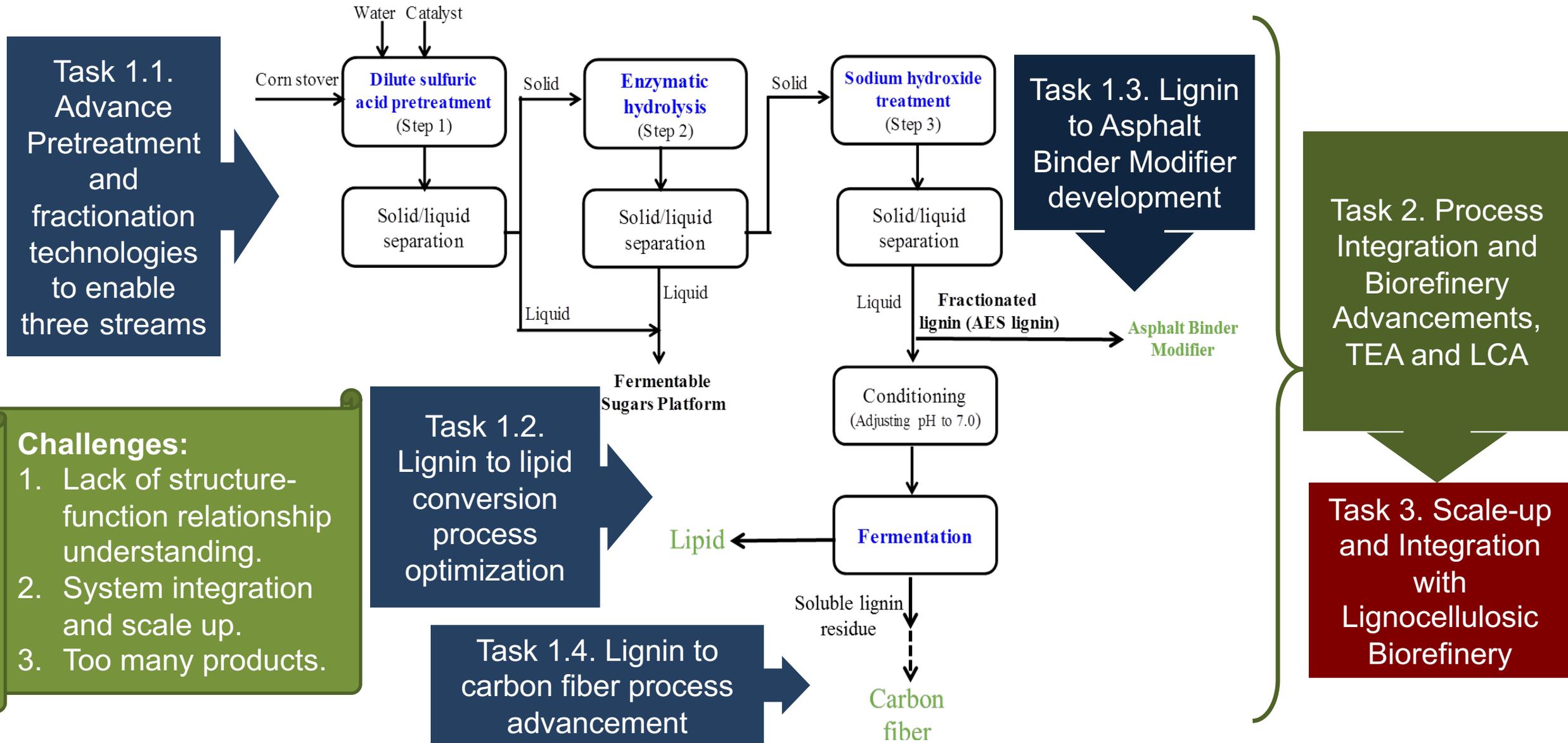


Integrated Techno-economic Assessment

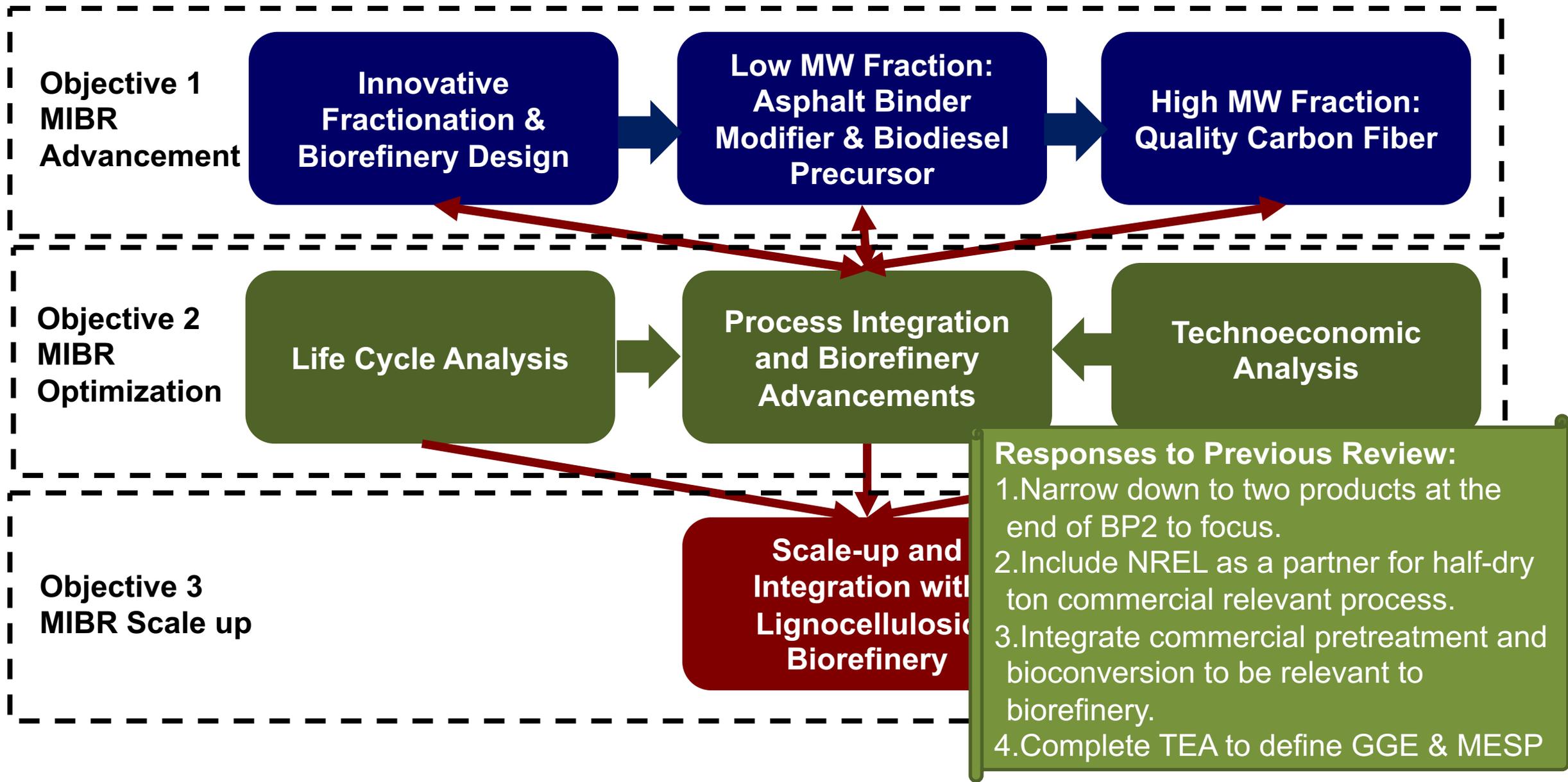


Down-selection to two product streams based on TEA and performance.

# Approach – Process View



# Approach – Integration of Tasks



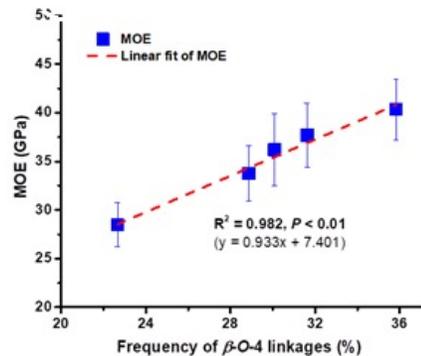
# Technical Approaches

## DESIGN

### Process Design

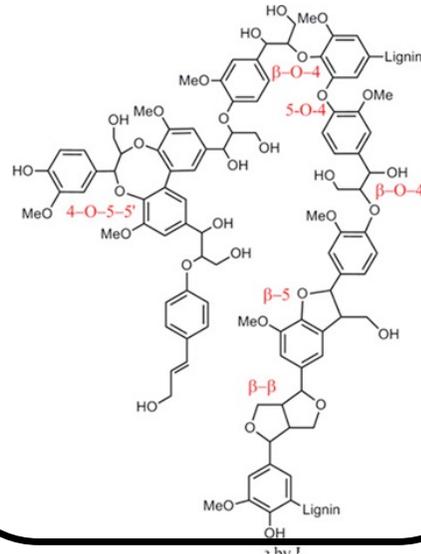
Microbial & Chemical Engineering

### Feedstock Design



## SCIENCE

### Lignin Chemistry Structure-Property Relationship



## PRODUCT

Carbon Fiber

Conversion to Lipid

Conversion to PHA

Nanoparticle

Pavement Material

Polymer Material

Represented studies:  
J. Li et al *Matter* 5 (10), 3513-3529  
Z. Liu et al *Nature communication* 12 (1), 3912  
Q. Li et al. *Green Chem.*, 19, 1628–1634  
Z. Liu et al. *Green Chem.*, 21, 245-260  
Q. Li et al., *iScience*, 23, 101405.  
Q. Li et al., *J. Mat. Chem. A.*, 5, 12740-12746.  
S. Xie et al., *Adv. Sci.*, 6, 1801980.

# Progresses and Outcomes Summary

Time Point		Benchmark		End of BP2		Current	End of the Project	
Product	Metrics	Milestones	Actual	Milestones	Actual	Actual	Milestones	Actual
Carbon Fiber	MOE	20GPa	21 Gpa	50GPa	75GPa	90GPa	100GPa	
	Tensile	100MPa	~200MPa	1GPa	1.1GPa	1.4GPa	2GPa	
Asphalt Binder Modifier	Rutting Temp Incr.	7°C	1PG 7°C	10°C	2PG 15°C	2PG 15°C	10°C	2PG 15°C
	Low temp	Same	Same	Same	Same	Same	Same	Same
Lipid for Biodiesel	Titer	10g/L	10g/L	15g/L	12g/L	N.A.	N.A.	
	Conversion	30%	30%	30%	30%	N.A.	N.A.	
Economic Outcome	MESP <sup>1</sup>	N.A.		N.A.		\$1.88*	N.A.	
	~\$/GGE <sup>2</sup>	N.A.		N.A.	~\$3	\$2.56*	~\$3/GGE	

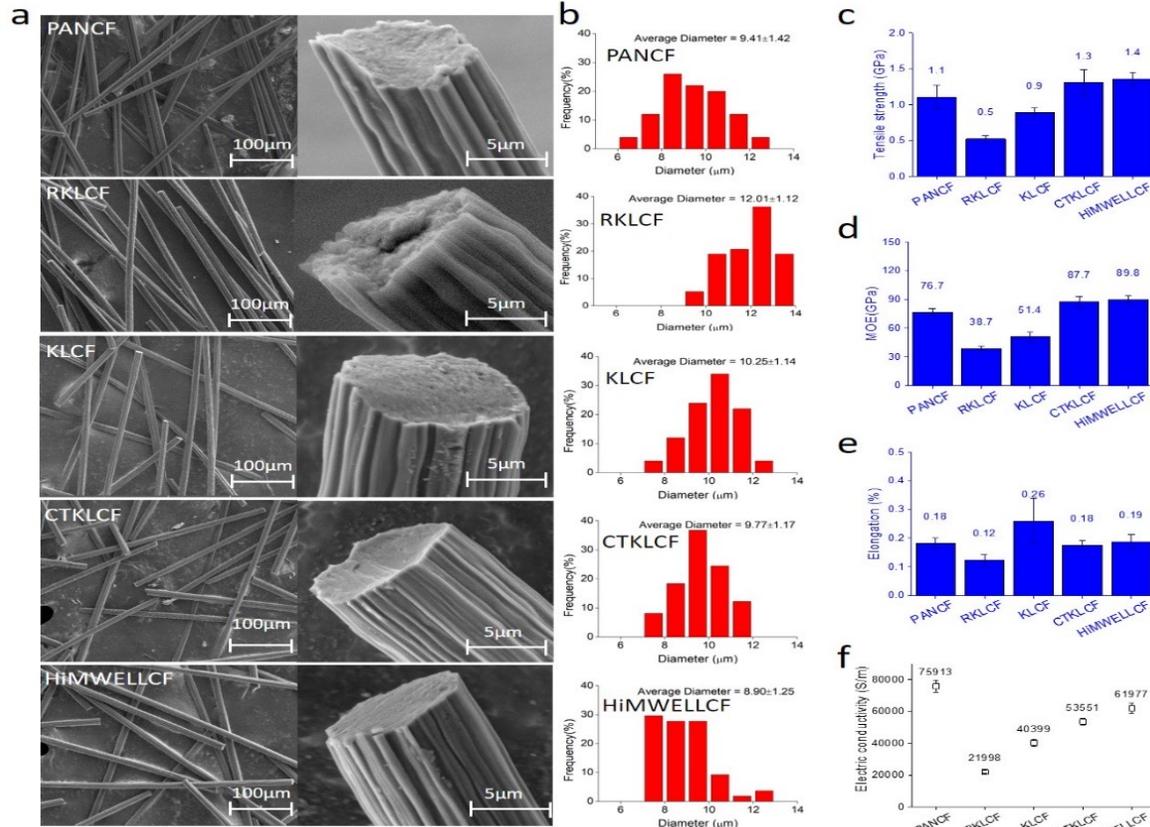
\* \$1.88 MESP and \$2.56/ GGE when carbon fiber is sold at \$20 per Kg.

# Progress and Outcomes: Technical

## Structure-Property Relationship



## Performance Improvement to Milestones



## HiMWELL Lignin

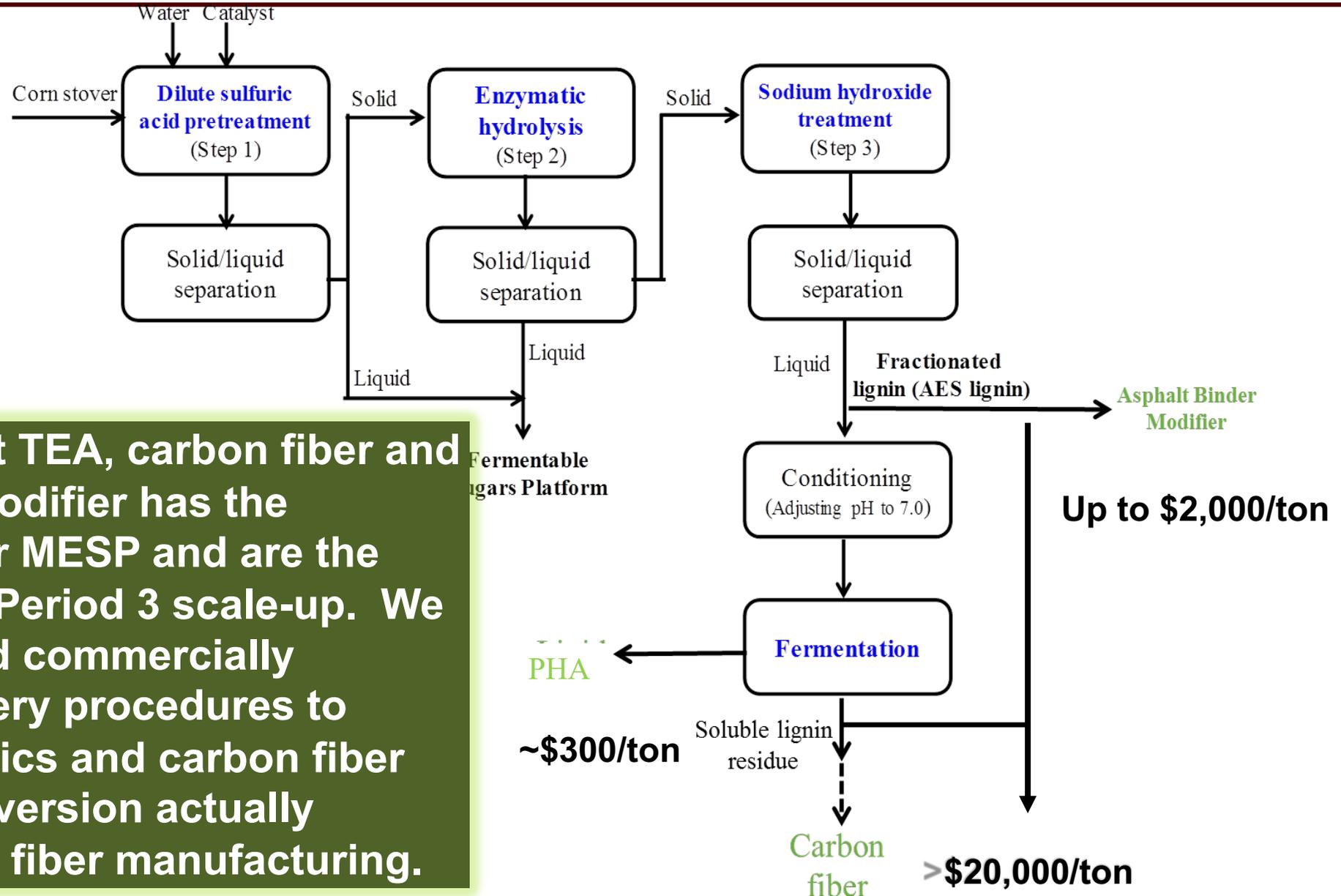
- More uniform
- High molecular weight
- Modified -OH group with cross-linkage

## High Quality CF

- Tensile Strength: 1.4 GPa
- Elastic Modulus: ~90 GPa

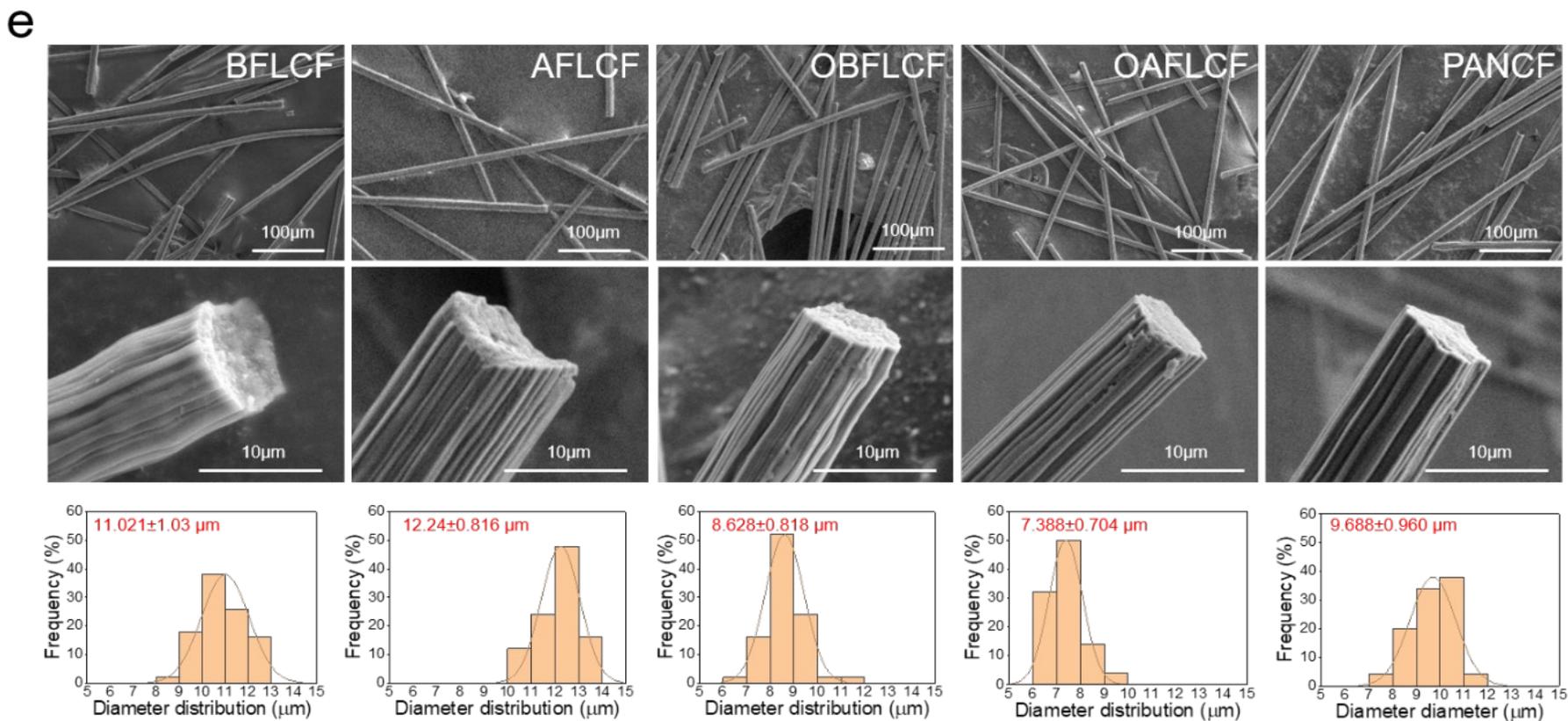
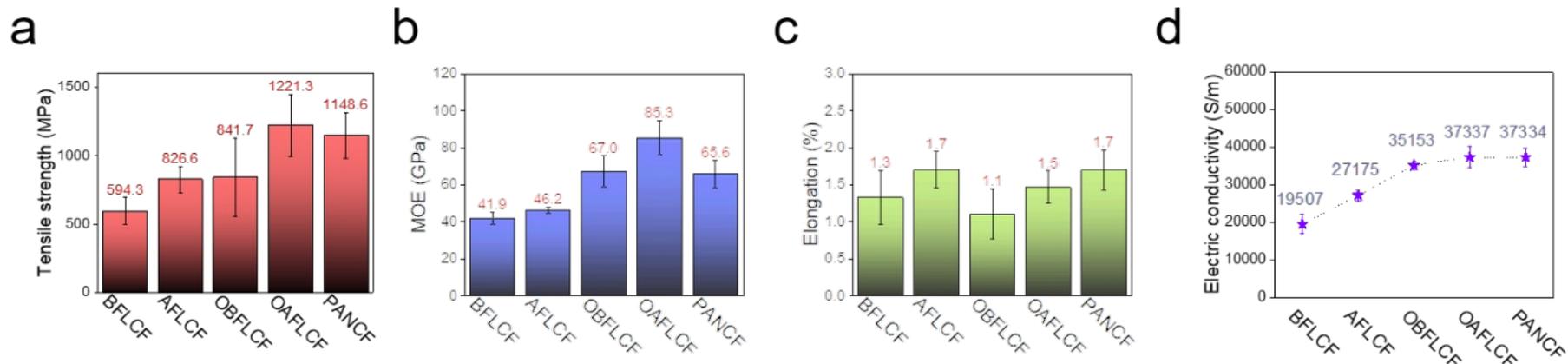
Li et al., Matter 5 (10), 3513-3529

# Selection of Value-adding Products to Maximize Impact

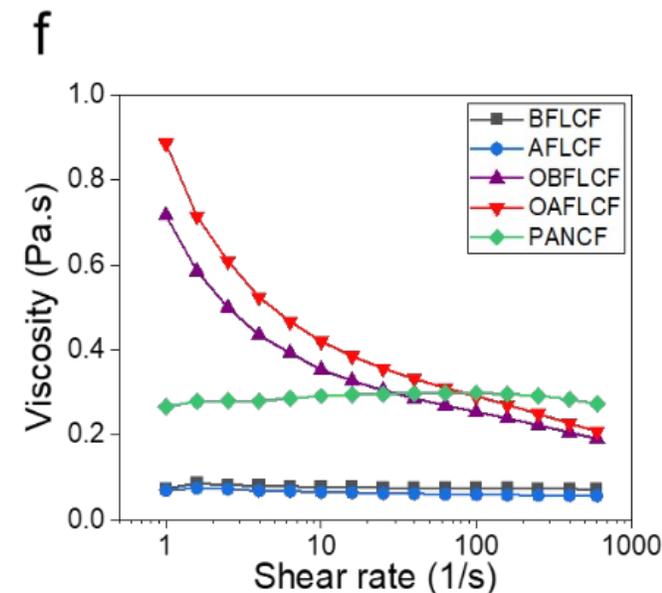
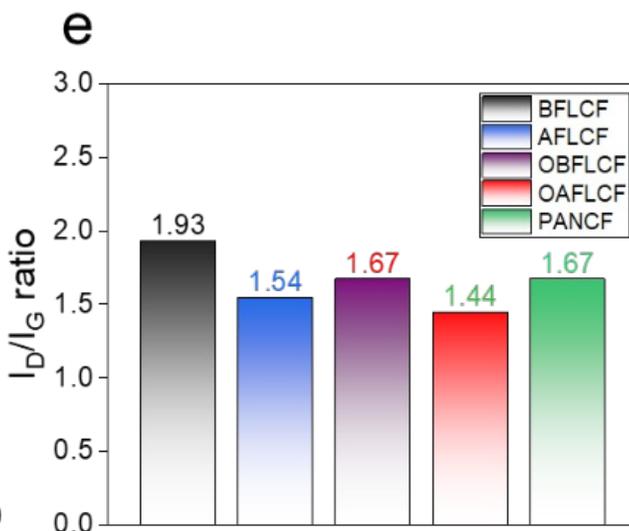
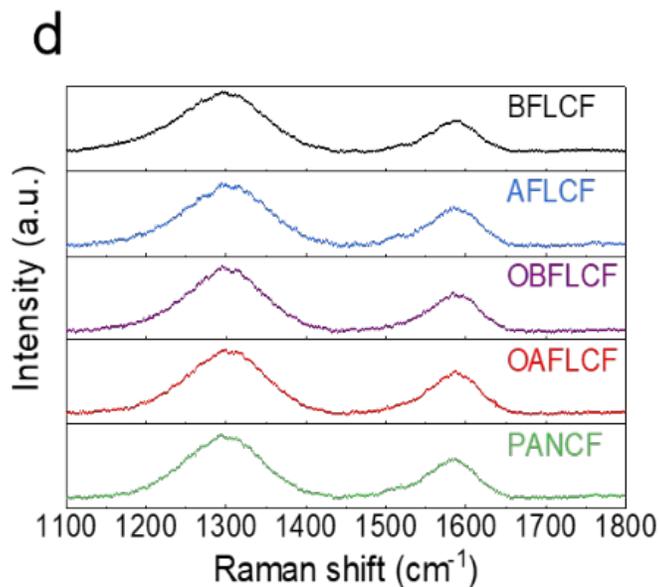
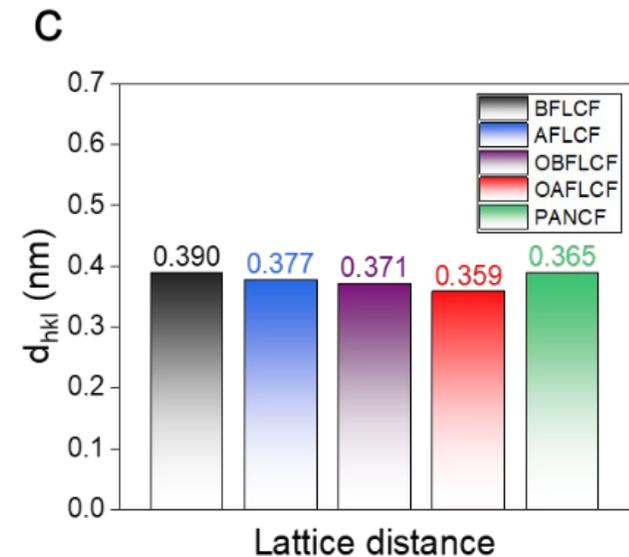
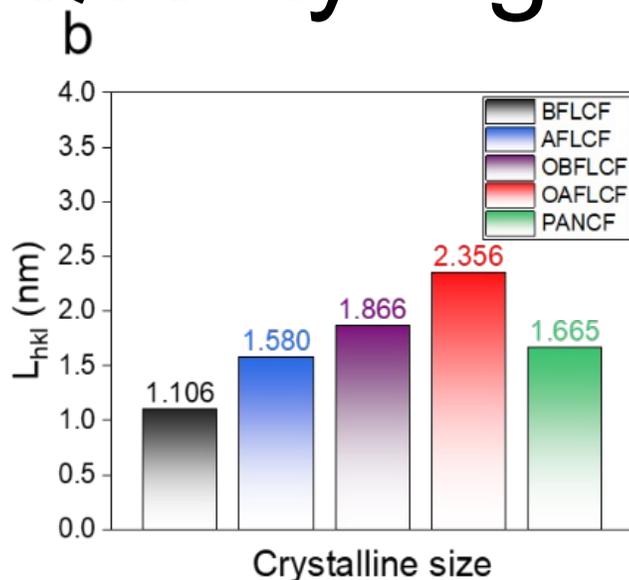
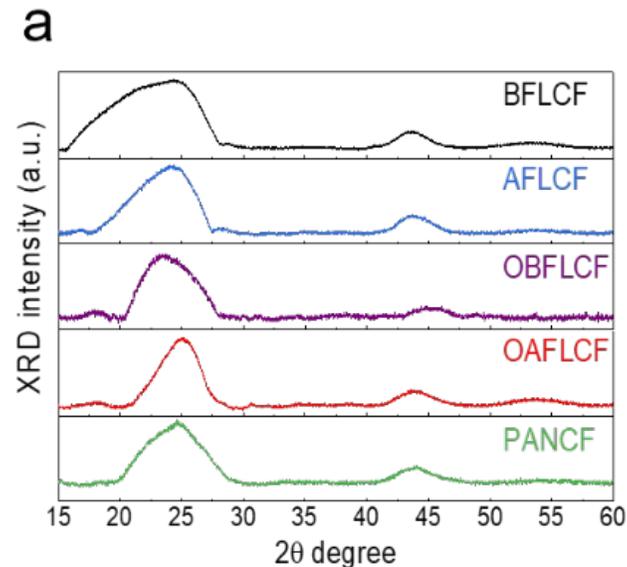


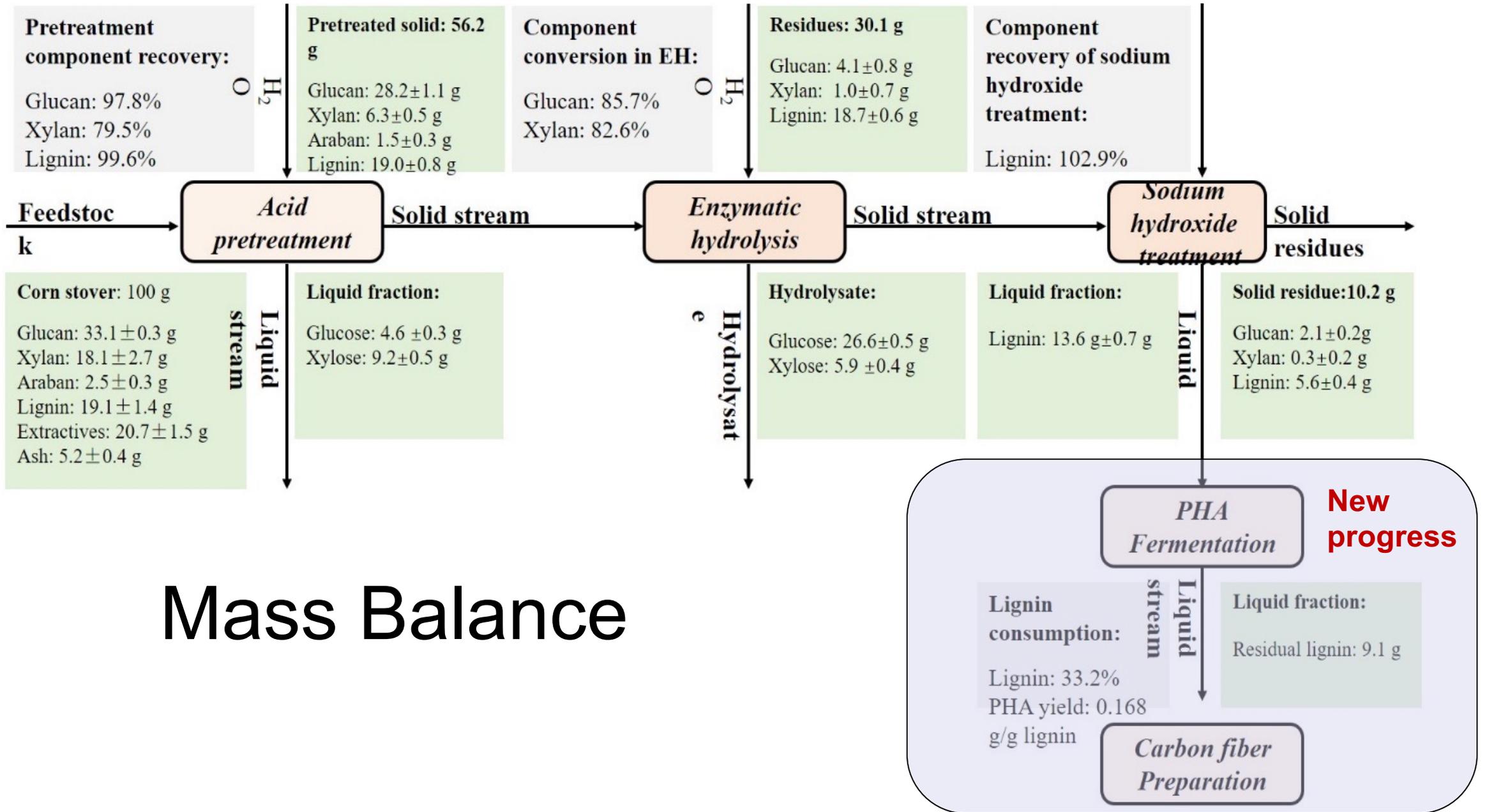
Based on current TEA, carbon fiber and asphalt binder modifier has the potential to lower MESP and are the focus of Budget Period 3 scale-up. We further integrated commercially relevant biorefinery procedures to produce bioplastics and carbon fiber together. Bioconversion actually improves carbon fiber manufacturing.

# After Fermentation Lignin for High Quality Carbon Fiber



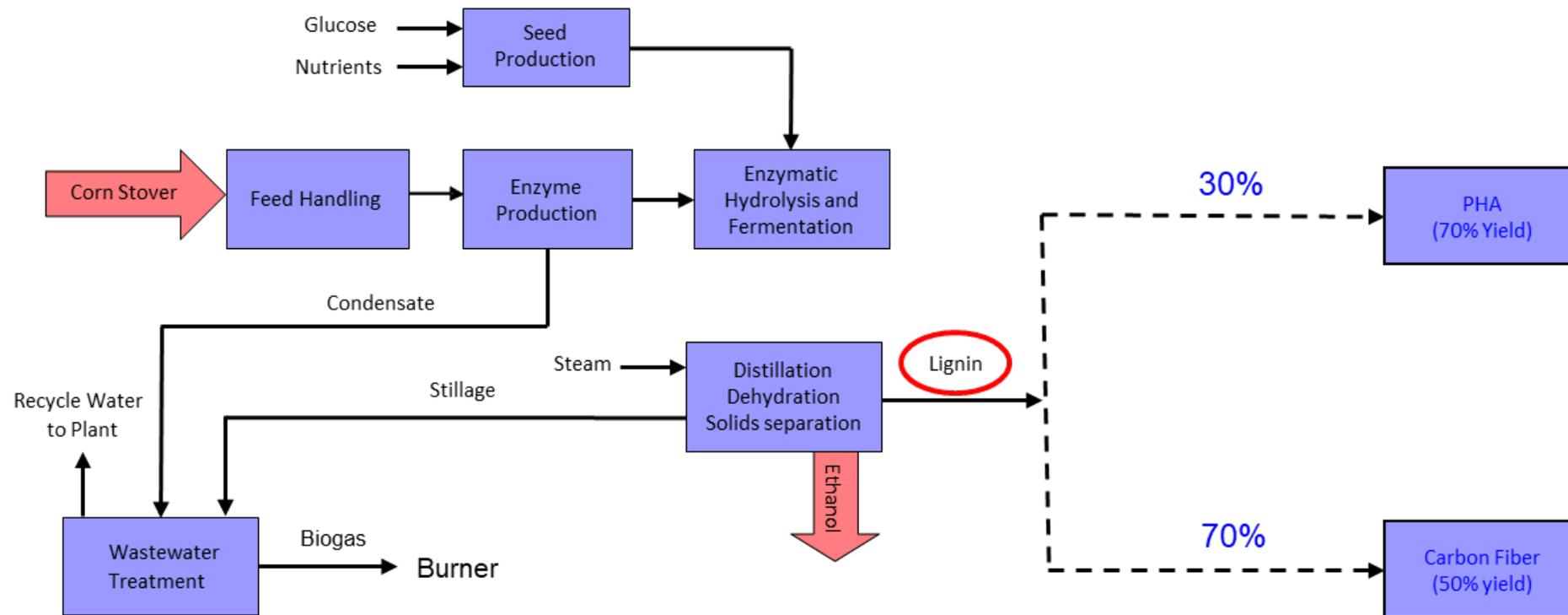
# Mechanism for Quality Lignin Carbon Fiber



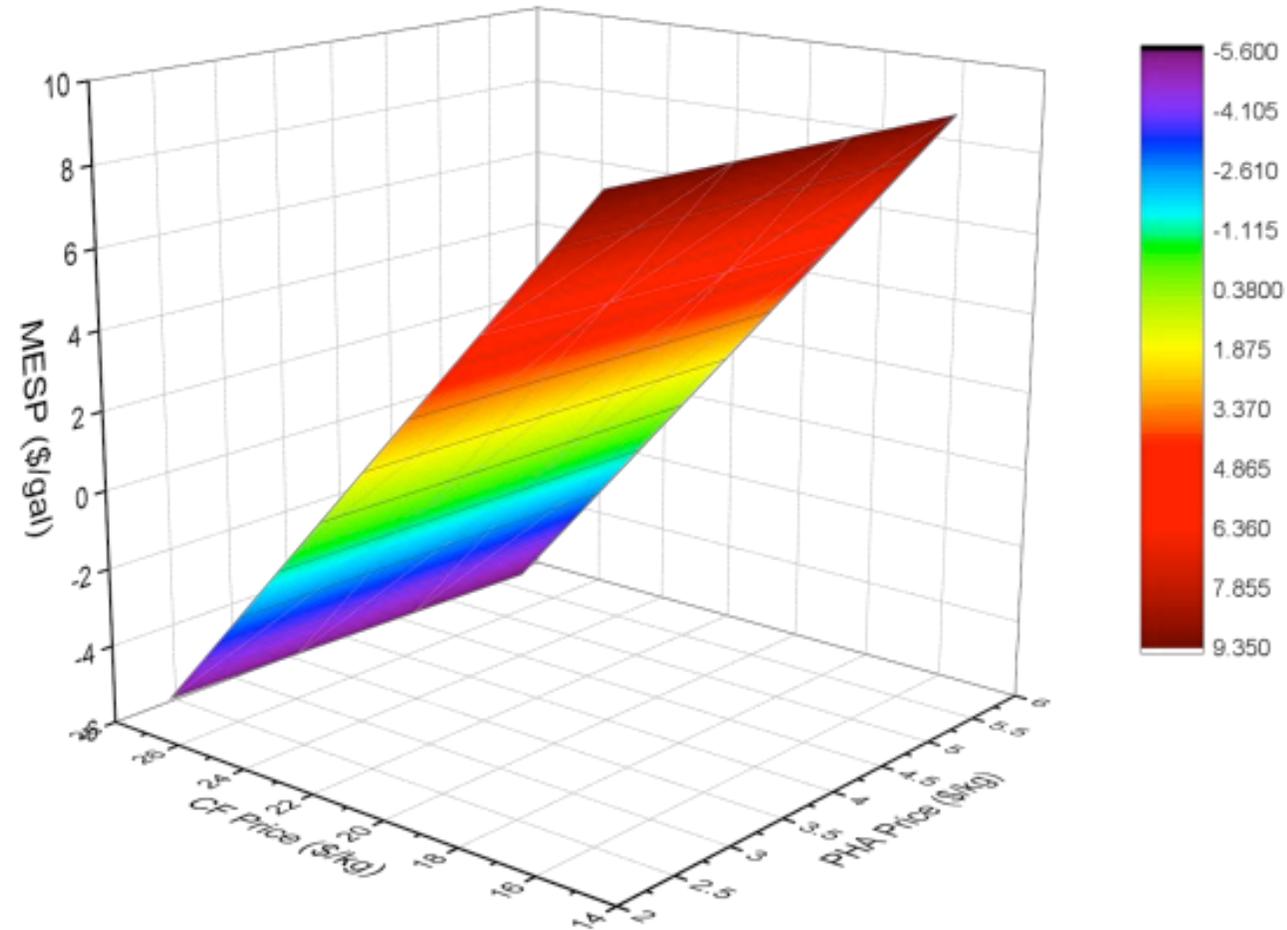


# Mass Balance

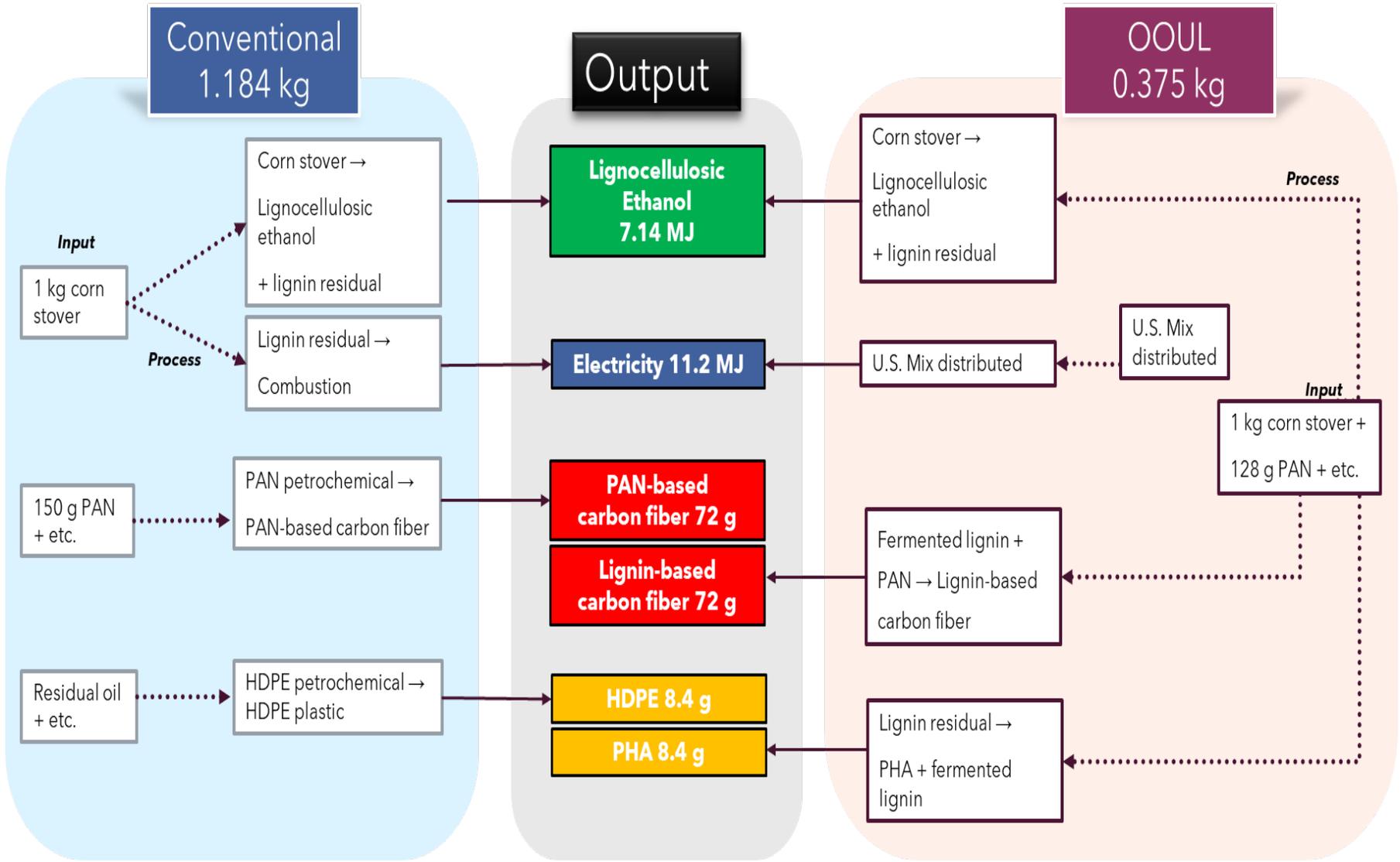
# Scenario for lignin upgrading in the Ethanol Biorefinery: Coproducts of PHA and Carbon Fiber



# Effect of PHA selling price and Carbon Fiber selling price on MESP



# Summary of estimated CO2 emission



Scenario	CO2 emission
Conventional	1.184 kg
MIBR	0.375 kg

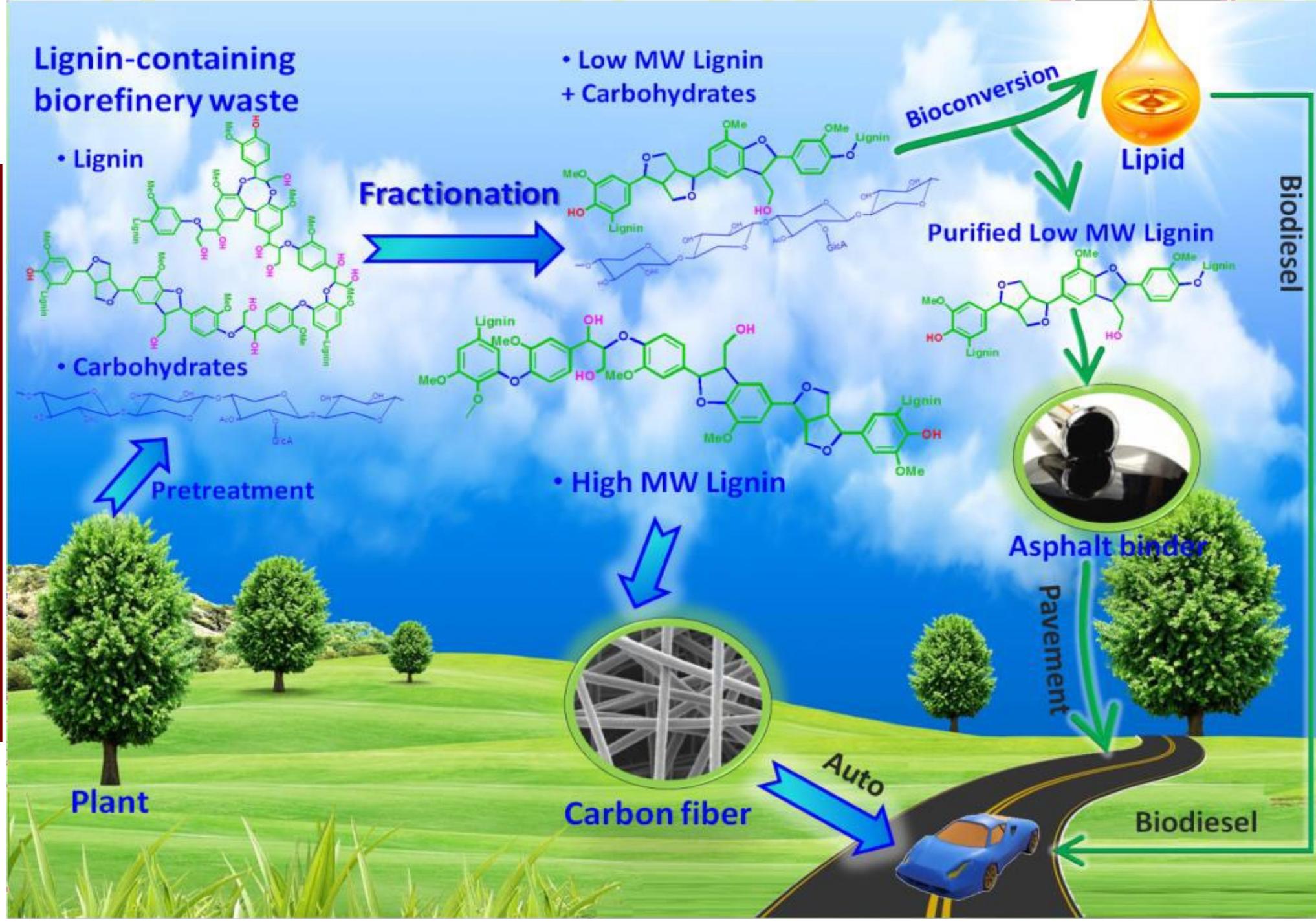
# Broad Scientific Impact and Transformative Industrial Impact

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- Transformative Industrial Impact
  1. Develop two out of three product streams to bring down the MESP to below \$3/GGE range.
  2. Constantly engage biorefinery companies like ICM, ADM, and POET.
  3. Constantly engage carbon fiber industry, national biodiesel association, and investors.
  4. TEA has shown significant potential of the platform to transform biorefinery economics.
- Broad Energy and Environmental Impacts– Well Addressing BETO Missions
  1. Improve biorefinery sustainability and cost-effectiveness with value-added products from waste.
  2. Provide low-cost carbon fiber to improve energy efficiency for US energy sector, with applications on wind turbine, automobile and others.
  3. Alleviate the feedstock shortage at biodiesel industry.
  4. Enhance asphalt high temperature performance to improve infrastructure resilience to climate changes.
- Broad Scientific Impacts
  1. 31 publications.
  2. Two PCT patent applications and one provisional patent application.
  3. Numerous scientific presentations and special events to engage companies.

## BETO Missions:

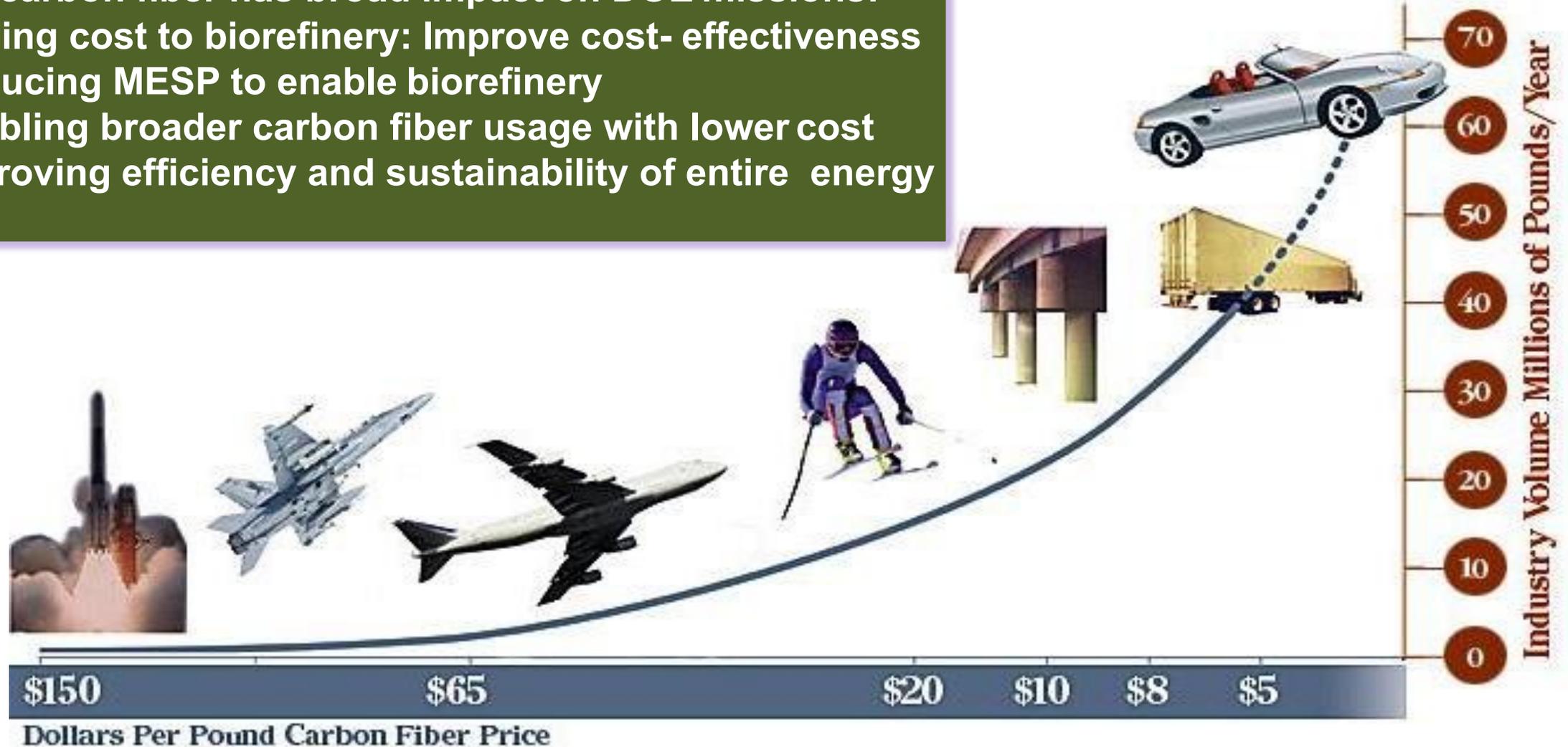
- Improve biorefinery economics and sustainability
- Produce high value bioproducts and manage biorefinery waste
- Reduce carbon emission by complete biomass usage



# Impact: Lignin as a Promising Substitute for Carbon Fiber Precursor

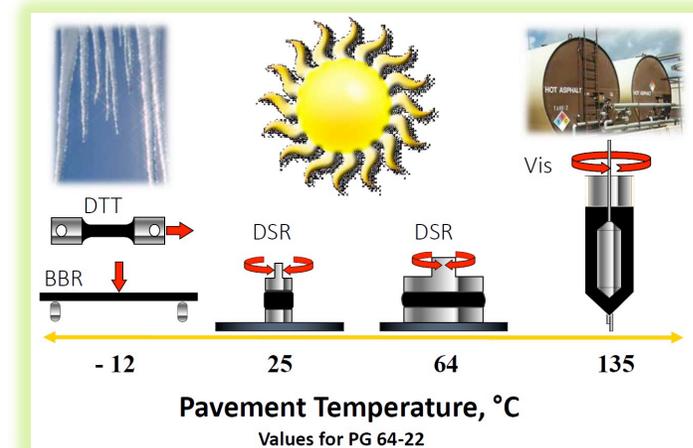
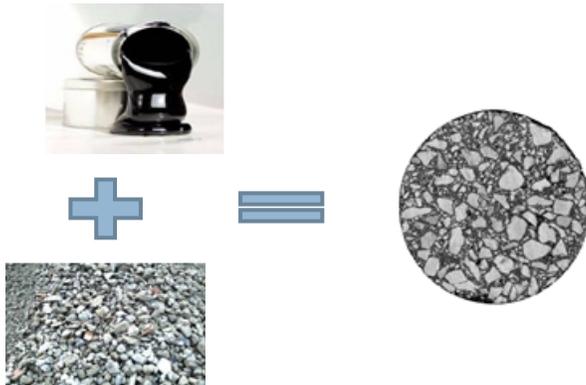
Lignin carbon fiber has broad impact on DOE missions:

- 1) Adding cost to biorefinery: Improve cost-effectiveness
- 2) Reducing MESP to enable biorefinery
- 3) Enabling broader carbon fiber usage with lower cost
- 4) Improving efficiency and sustainability of entire energy sector

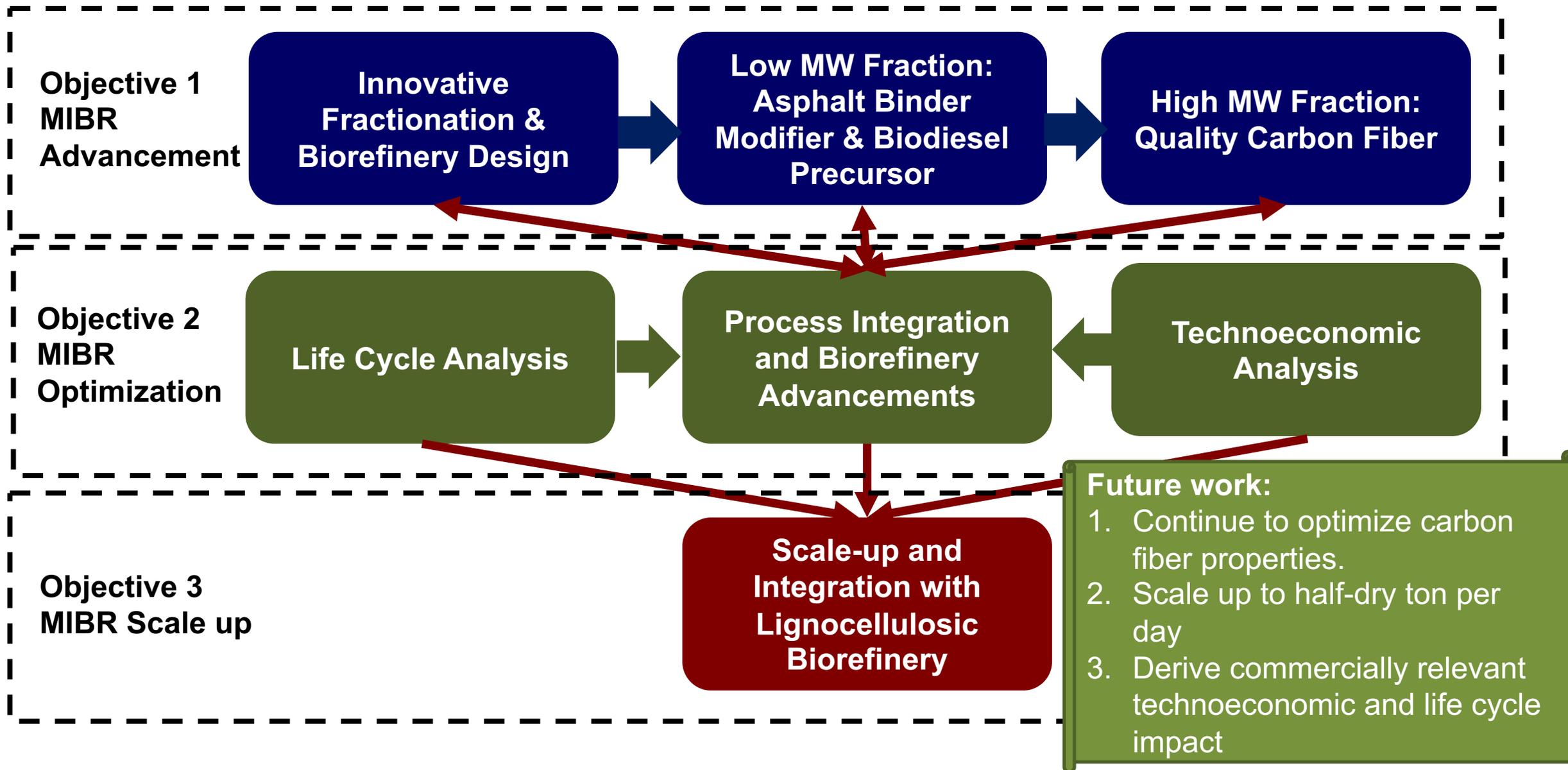


# Impact: Asphalt Binder Modifier to Enhance Infrastructure Resilience to Climate Change

- Asphalt pavement facts:
  - 2.5 million miles of asphalt paved road in US.
  - 3,500 asphalt mix plants in US, producing about 350 million tons of asphalt mixes per year: \$21 billion.
  - 17.5 million tons of asphalt binders
    - Binder: \$600/ton
    - Market: \$10.5 billion
- Asphalt binder functions as a glue in asphalt mixtures. Its quality have big influence on pavement perform.



# Future Work



# Summary

*The project will leapfrog the technologies to enable multi-stream integrated biorefinery (MIBR).*

1. Overview – The develop and integrate multiple value-added bioproduct streams to enable multi-stream integrated biorefinery (MIBR) to reduce MESP and improve sustainability and cost-effectiveness of lignocellulosic biorefinery.
2. Management
  - S.M.A.R.T Milestones, and Go/No-Go milestones at the end of each BP.
  - Constant engagement with commercial partners.
  - TEA and LCA guide the process advancement for commercial relevance.
  - Two PCT patents filed.
3. Approach
  - Rigorous management approach to enforce milestones.
  - MIBR Development by Optimizing and Advancing Each Product Process
  - MIBR Integration and Optimization
  - MIBR Scale-up, TEA and LCA to guide the technology advancements and commercialization.
4. Impact
  - The project is directly addressing MYPP goals.
  - Aspen Plus model significant potential of carbon fiber to reduce MESP
  - The low-cost carbon fiber could improve the efficiency of energy sector substantially.
  - The asphalt binder modifier can enhance the infrastructure resilience to global climate changes.
5. Technical Accomplishments/Progress/Results
  - The project has met all BP2 milestones.
  - The project has delivered solutions to reduce MESP significantly
  - The project has led to profound scientific discoveries, guiding future process development
  - We will continue the scale up of two out of three streams according to the Go/No-Go milestones.



# Quad Chart Overview

## Timeline

- Project start date: 09/01/2018
- Project end date: 11/30/2023

	FY22 Costed	Total Award
<b>DOE Funding</b>	\$985,364	\$2,236,211
<b>Project Cost Share</b>	\$460,631	\$559,056

## Project Partners\*

- University of Tennessee, Knoxville/Oak Ridge National Lab
- Washington State University
- Texas Transportation Institute

## Project Goal

The project will leapfrog the technologies to enable multi-stream integrated biorefinery (MIBR), which will improve the economics and sustainability of lignocellulosic biorefinery and reduces MESP and \$/GGE.

## End of Project Milestone

At the end of the project, we will deliver integrated biorefinery to produce carbon fiber at MOE of 100GPa and tensile strength of 2GPa, along with asphalt binder modifier with 1PG increase of high temperature performance without compromising low temperature performance. We will select two product streams out of three streams in BP2. Currently, we set to select asphalt binder modifier and carbon fiber as next step focus.

## Funding Mechanism

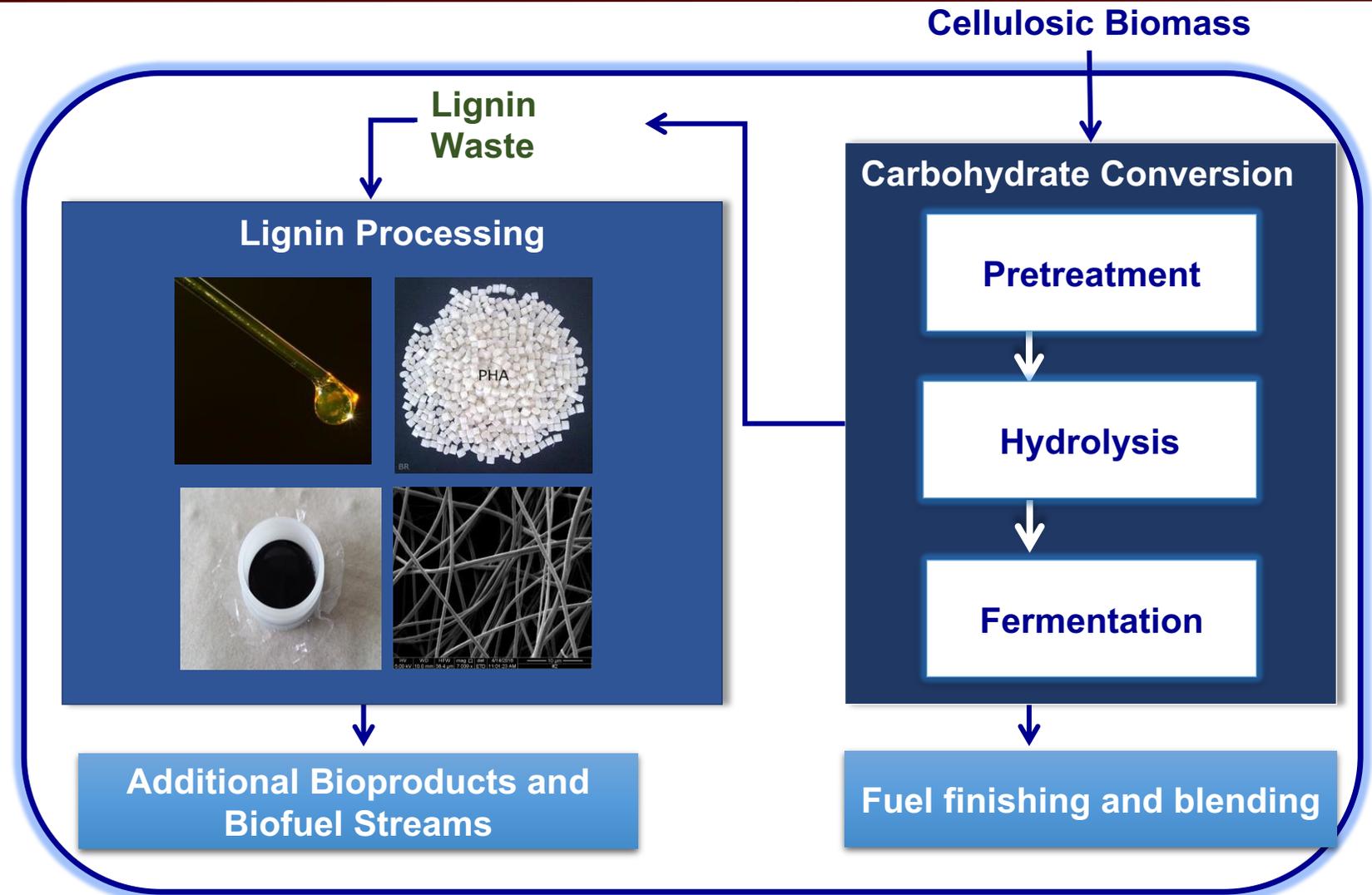
DE-FOA-0001689; Topic Area 2: High value products from waste/or other undervalued streams in an integrated biorefinery. 2018.

# Additional slides

# Impact: Lignin Utilization to Enable Economic and Sustainable Multi-stream Biorefinery

## BETO Missions:

- Improve biorefinery economics and sustainability
- Produce high value bioproducts and manage biorefinery waste
- Reduce carbon emission by complete biomass usage



# Management Approach – Go/No-Go Milestones

Time Point		Benchmark	End of BP2	End of the Project	Technical Advancements Derive Economic Output and TEA guide Tech Development
Product	Metrics	Milestones	Milestones	Milestones	
Carbon Fiber	MOE	20GPa	50GPa	100GPa	
	Tensile	100MPa	1GPa	2GPa	
Asphalt Binder Modifier	Rutting Temp Incr.	7°C	10°C	10°C	
	Low temp	Same	Same	Same	
Lipid for Biodiesel	Titer	10g/L	15g/L	25g/L	
	Conversion	30%	30%	40%	
Economic Outcome	MESP <sup>1</sup>	N.A.	N.A.	N.A.	
	~\$/GGE <sup>2</sup>	N.A.	N.A.	~\$3/GGE	

1. Minimal Ethanol Selling Price
2. Gasoline Gallon Equivalent

- Defined S.M.A.R.T. Go/No-Go milestones were set and implemented to ensure project progresses.
- The technical milestones were designed in a way to ensure that the economic targets can be achieved. Full ASPEN model was built.
- Down-selection to two product streams based on TEA and performance.

# Acknowledgement

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## Project Management:

Joshua Messner

Marykate O'Brien

Jay Fitzgerald

Kelly Nguyen

## CoPIs:

Dr. Art Ragauskas

Dr. Bin Yang

Dr. Fujie Zhou

Dr. Bruce McCarl

Dr. Brandon Emme



U.S. DEPARTMENT OF  
**ENERGY**

Energy Efficiency &  
Renewable Energy

# Publication List

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1. Jinghao Li, Cheng Hu, Yunyan Wang, Xianzhi Meng, Sisi Xiang, Christopher Bakker, Katherine Plaza, Arthur J. Ragauskas, Susie Dai, Joshua Yuan\*, Lignin Molecular Design to Transform Green Manufacturing, *Matter*, 2022, 5(10), 3513-3529.
2. Zhi-Hua Liu, Naijia Hao, Yun-Yan Wang, Chang Dou, Furong Lin, Rongchun Shen, Renata Bura, David B. Hodge, Arthur J. Ragauskas, Bin Yang, Joshua S. Yuan\*, Transforming biorefinery designs with 'plug-In processes of lignin' to enable economic waste valorization, *Nature Communications*, 2021, 12, 3912.
3. Cheng Hu, Mingzhen Zhao, Qiang Li, Zhihua Liu, Naijia Hao, Xianzhi Meng, Jinghao Li, Furong Lin, Chenxuan Li, Lei Fang, Susie Y. Dai, Arthur J. Ragauskas, Hung-Jue Sue, Joshua Yuan\*, Phototunable lignin plastics to enable recyclability, *ChemSusChem*, 2021, 14(19), 3980.
4. Qiang Li, Cheng Hu, Mengjie Li, Phuc Truong, Jinghao Li, Hao-Sheng Lin, Mandar Naik, Sisi Xiang, Brian Jackson, Winson Chun-Hsin Kuo, Wenhao Wu, Yunqiao Pu, Arthur Ragauskas, and Joshua S Yuan\*, Enhancing multifunctional properties of renewable lignin carbon fiber via defining structure-property relationship using different biomass feedstock, *Green Chemistry*, 2021, 23, 3725
5. Qiang Li, Cheng Hu, Mengjie Li, Phuc Truong, Mandar T Naik, Dwarkanath Prabhu, Leo Hoffmann Jr, William L Rooney, **Joshua S. Yuan\***, Discovering biomass structural determinants defining the properties of plant-derived renewable carbon fiber, *iScience*, 2020, 23 (8), 101405.
6. Zhi-Min Zhao, Zhi-Hua Liu, Yunqiao Pu, Xianzhi Meng, Jifei Xu, **Joshua S. Yuan\***, , and Arthur J. Ragauskas, Tailoring lignin chemistry and developing fermentation process intensification to enhance biological lignin valorization, *ChemSusChem*, 2020, 13 (20), 5423-5432.
7. Man Li, Zhi-Hua Liu, Naijia Hao, Michelle L. Olson, Qiang Li, Samarthyha Bhagia, Katy Kao, Arthur Jonas Ragauskas, Shangxian Xie and **Joshua S. Yuan\***, Co-optimization of carbohydrate and lignin processability by biomimicking biomass processing, *Frontiers in Energy*, 2020, 8, 194.
8. Xiaoyu Wu, Junhua Jiang, Chongmin Wang, Jian Liu, Yunqiao Pu, Arthur Ragauskas, Songmei Li, and **Bin Yang\***, "Lignin-Derived Electrochemical Energy Materials and Systems" *BioFPR*, 14:650–672 (2020); DOI: 10.1002/bbb.2083.
9. Wang, Y.-Y., Meng, X., Pu, Y., **Ragauskas, A.J\***. 2020. Recent Advances in the Application of Functionalized Lignin in Value-added Polymeric Materials. *Polymers*, **12**(10), 2277
10. Zhao, Z.-M., Liu, Z.-H., Pu, Y., Meng, X., Xu, J., Yuan, J.S., **Ragauskas, A.J\***. 2020. Emerging Strategies for Modifying Lignin Chemistry to Enhance Biological Lignin Valorization. *ChemSusChem*, **13**(20), 5423-5432.
11. Wu, X., Jiang, J., Wang, C., Liu, J., Pu, Y., **Ragauskas, A.J\***. Li, S., Yang, B. 2020. Lignin-derived electrochemical energy materials and systems. *Biofuels, Bioproducts and Biorefining*, **14**(3), 650-672.
12. Shangxian Xie, Su Sun, Furong Lin, Muzi Li, Yunqiao Pu, Yanbing Cheng, Bing Xu, Zhihua Liu, Leonardo da Costa Sousa, Bruce E Dale, Arthur J Ragauskas, Susie Y Dai, **Joshua S Yuan\***, Mechanism-guided design of highly efficient protein secretion and lipid conversion for biomanufacturing and biorefining, *Advanced Science*, 2019, 6(13), 1801980.

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14. Zhihua Liu, N Hao, Somnath Shinde, Yuqiao Pu, Xiaofeng Kang, Arthur J Ragauskas, Joshua S Yuan\*, Defining lignin nanoparticle properties through tailored lignin reactivity by sequential organosolv fragmentation approach (SOFA), *Green Chemistry*, 2019, 21 (2), 245-260
15. Qiang Li, Cheng Hu, Heidi Clarke, Mengjie Li, Patrick Shamberger, Wenhao Wu, Joshua S. Yuan\*, Microstructure defines the electroconductive and mechanical performance of plant-derived renewable carbon fiber, *Chemical Communications*, 2019, 55 (84), 12655-12658.
16. Zhi-Hua Liu, Rosemary K Le, Matyas Kosa, Bin Yang, **Joshua S. Yuan\***, Arthur J Ragauskas, Identifying and creating pathways to improve biological lignin valorization, *Renewable and Sustainable Energy Reviews*, 2019, 105, 349-362.
17. Zhi-Hua Liu, Naijia Hao, Somnath Shinde, Michelle L. Olson, Samarthy Bhagia, John R. Dunlap, Katy C. Kao, Xiaofeng Kang, Arthur J. Ragauskas, **Joshua S. Yuan\***, Co-design of combinatorial organosolv pretreatment (COP) and lignin nanoparticles (LNPs) in biorefineries, *ACS Sustainable Chemistry and Engineering*, 2019, 7 (2), 2634-2647.
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19. Qiang Li, Mandar T. Naik, Hao-Sheng Lin, Cheng Hu, Wilson K. Serem, Li Liu, Pravat Karki, Fujie Zhou, **Joshua S. Yuan\***, Tuning hydroxyl groups for quality carbon fiber of lignin, *Carbon*, 2018, 139, 500-511.
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23. Su Sun, Shangxian Xie, Yanbing Cheng, Hongbo Yu, Honglu Zhao, Muzi Li, Xiaotong Li, Xiaoyu Zhang, Joshua S. Yuan\*, Susie Y. Dai, Enhancement of environmental hazard degradation in the presence of lignin: a proteomics study, *Scientific Reports*, 2017, 7 (1), 11356.
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31. Hasan Sadeghifar, Tyrone Wells, Rosemary K. Le, Fatemeh Sadeghifar, **Joshua S. Yuan\***, Arthur J. Ragauskas, Fractionation of organosolv lignin using acetone: water and properties of the obtained fractions, *ACS Sustainable Chemistry & Engineering*, 2017, 5, 580–587.

The project has led to 28 publications with a total impact factor of 190.

# Patent and Commercialization

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- **The project has led to three patent applications.**

1. J.S. Yuan, et al., “Conversion of lignin into bioplastics and lipid fuels”, PCT/US2016/024579, WO 2016154631 A1 – The PCT patent is at US and EU application stage.
2. J.S. Yuan et al., “Lignin fractionation and fabrication for quality carbon fiber”, PCT/US2019/019620 – This is a PCT application.
3. J.S. Yuan et al., “Lignin molecular design to transform green manufacturing.” Provisional

- **Commercialization efforts -- We have actively engaged with two industries.**

1. For lignocellulosic biorefineries, we have worked closely with ICM inc. We also had dialogue with POET for lignin utilization.
2. For carbon fiber industry and pavement industry, we are engaging with Venture Capital and start ups for commercialization.